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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/013,103	11/06/2001	Krishna Seshan	42390P5778D	1577

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EXAMINER

LEWIS, MONICA

ART UNIT PAPER NUMBER

2822

DATE MAILED: 08/28/2002

Please find below and/or attached an Office communication concerning this application or proceeding.

# Office Action Summary

Application No.

10/013,103

Applicant(s)

SESHAN ET AL.

Examiner

Monica Lewis

Art Unit

2822

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 06 November 2001.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 17-24 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 17-24 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 06 November 2001 is/are: a) ☐ accepted or b) ☒ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on \_\_\_\_\_ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

## Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

## Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449) Paper No(s) 2.
- 4) ☐ Interview Summary (PTO-413) Paper No(s) \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_.

### **DETAILED ACTION**

1. This action is in response to the application filed November 6, 2001.

#### ***Oath/Declaration***

2. The oath or declaration is defective. A new oath or declaration in compliance with 37 CFR 1.67(a) identifying this application by application number and filing date is required. See MPEP §§ 602.01 and 602.02.

The oath or declaration is defective because:

Non-initialed and/or non-dated alterations have been made to the oath or declaration. See 37 CFR 1.52(c).

#### ***Drawings***

3. The drawings are objected to as failing to comply with 37 CFR 1.84(p)(5) because they include the following reference sign(s) not mentioned in the description: a) 400 (See Figure 20); and b) 402 (See Figure 20). A proposed drawing correction, corrected drawings, or amendment to the specification to add the reference sign(s) in the description, are required in reply to the Office action to avoid abandonment of the application. The objection to the drawings will not be held in abeyance.

#### ***Specification***

4. The lengthy specification has not been checked to the extent necessary to determine the presence of all possible minor errors. Applicant's cooperation is requested in correcting any errors of which applicant may become aware in the specification.

*Claim Rejections - 35 USC § 102*

5. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

6. Claims 17, 18 and 21 are rejected under 35 U.S.C. 102(b) as being anticipated by Bacchetta et al. (U.S. Patent No. 5,627,403)

In regards to claim 17, Bacchetta et al. ("Bacchetta") discloses the following:

- a) an insulating layer (1) (See Figure 2);
- b) an adhesion layer (2) formed over said insulating layer (See Figure 2); and
- c) a first passivation layer (3) formed on said adhesion layer, said first passivation layer and said adhesion layer including at least one common chemical element (See Figure 2 and Abstract).

In regards to claim 18, Bacchetta discloses the following:

- a) a second passivation layer (5) formed upon said first passivation layer (See Figure 2).

In regards to claim 21, Bacchetta discloses the following:

- a) first passivation layer includes silicon nitride ( $\text{Si}_3\text{N}_4$ ) (See Column 3 Lines 63 and 64).

7. Claim 23 is rejected under 35 U.S.C. 102(b) as being anticipated by Takiar et al. (U.S. Patent No. 4,723,197).

In regards to claim 23, Bacchetta discloses the following:

- a) a silicon dioxide insulating layer (16) (See Figure 3);
- b) a silicon oxynitride adhesion layer (20) formed over said silicon dioxide insulating layer (See Figure 3); and

c) a silicon nitride hard passivation layer (32) formed on said silicon oxynitride adhesion layer (See Figure 3).

***Claim Rejections - 35 USC § 103***

8. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

9. Claims 19, 20 and 22 are rejected under 35 U.S.C. 103(a) as obvious over Bacchetta et al. (U.S. Patent No. 5,627,403) in view of Mu et al. (U.S. Patent No. 5,612,254).

In regards to claim 19, Bacchetta fails to disclose the following:

a) insulating layer includes silicon dioxide ( $\text{SiO}_2$ ).

However, Mu et al. ("Mu"), an analogous device, discloses a silicon dioxide layer (See Column 4 Lines 38-42). It would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the semiconductor device of Bacchetta to include a silicon dioxide layer as disclosed in Mu because it aids in keeping the device from shortening out and also as a functionally equivalent substitution as an insulator.

In regards to claim 20, Bacchetta fails to disclose the following:

a) adhesion layer includes silicon oxynitride.

However, Mu, an analogous device, discloses silicon oxynitride layer (See Column 4 Lines 38-42). It would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the semiconductor device of Bacchetta to include a silicon

oxynitride layer as disclosed in Mu because it aids in keeping the device from shortening out and also as a functionally equivalent substitution as an insulator.

In regards to claim 22, Bacchetta fails to disclose the following:

a) second passivation layer includes polyimide.

However, Mu, an analogous device, discloses a polyimide layer (See Column 4, Lines 38-42). It would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the semiconductor device of Bacchetta to include a polyimide layer as disclosed in Mu because it aids in protecting the device.

10. Claim 24 is rejected under 35 U.S.C. 103(a) as obvious over Takiar et al. (U.S. Patent No. 4,723,197) in view of Bryant et al. (U.S. Patent No. 5,698,456).

In regards to claim 24, Takiar fails to disclose the following:

a) photodefinable polyimide soft passivation layer formed on said silicon nitride hard passivation layer.

However, Bryant et al. ("Bryant") discloses a polyimide layer (34) (See Figure 4e). It would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the semiconductor device of Takiar to include a polyimide layer as disclosed in Bryant because it aids in protecting the device.

### ***Conclusion***

11. The following prior art made of record and not relied upon is considered pertinent to applicant's disclosure: a) Sakamoto et al. (U.S. Patent No. 5,103,288) discloses a device having multilayered wiring structure; b) Giridhar et al. (U.S. Patent No. 5,139,971) discloses an anneal to decrease moisture; and c) Kitayama et al. (U.S. Patent No. 5,646,439) discloses a passivation and organic film.

12. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Monica Lewis whose telephone number is 703-305-3743.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Carl Whitehead, Jr. can be reached on 703-308-4940. The fax phone number for the organization where this application or proceeding is assigned is 703-308-7722 for regular and after final communications. Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-308-0956.

ML

August 23, 2002



Stephen D. Meier  
Primary Examiner